| '0/SB/ | | |
|--------|--|--|
| | | |
| | | |

| 10 | | | | | | P10/38/21 (04-04) | |
|---|--|----------------------|--|---|------------------------|---|--|
| Chr | WHA GE | | Application Number | 09/929,270 | | | |
| MITTAL | | Filing Date | August 13, 2001 | | | | |
| FORM | | First Named Inventor | Northrup, M. Allen | | | | |
| (to be used for all c | correspondence after initial filin | g) | Art Unit | 1631 | | | |
| | | | Examiner Name | Ardin H. Marschel | | | |
| Total Number of Box | nee in This Submission | 21 | Attorney Docket Number | 020048-002820US | | | |
| Total Number of Pag | ges in This Submission | 21 | | 020040 00202 | | | |
| | | ENC | CLOSURES (Check all that | apply) | | | |
| Fee Transmit | ttal Form (in duplicate) | | Drawing(s) | <u> </u> | Technolo | ance Communication ogy Center (TC) | |
| Fee / | Attached | | Licensing-related Papers | of A | Appeals | nmunication to Board and Interferences | |
| Amendment/I | Reply (14 pages) | | Petition | Appeal Communio (Appeal Notice, Brid duplicate) | | nmunication to TC ice, Brief, Reply Brief) (in | |
| After After | Final | | Petition to Convert to a Provisional Application | | • | Information | |
| l | avits/declaration(s) | | Power of Attorney, Revocation Change of Correspondence Addre | ss <u> </u> | atus Lett | | |
| Extension of (in duplicate) | Time Request | | Terminal Disclaimer | | ner Enclo ntify bel | osure(s) (please ow): | |
| | ndonment Request | | Request for Refund | Return Pos | stcard | | |
| Information Disclosure Statement | | | CD, Number of CD(s) | | | | |
| Certified Cop Document(s) | | Rema | The Commissioner is au Account 20-1430. | thorized to charge | e any a | dditional fees to Deposit | |
| Response to Missing Parts/ Incomplete Application | | | • | | | | |
| | onse to Missing Parts r 37 CFR 1.52 or 1.53 | | | | | | |
| | | | | | | | |
| | SIGNAT | URE (| OF APPLICANT, ATTORNE | Y, OR AGENT | Γ | | |
| Firm T | Fownsend and Townse | end an | d Crew LLP | | | | |
| or Individual name C | Chun-Pok Leung | | Reg. | No. 41,405 | | | |
| Signature | 1-01 | Ac | di | | | | |
| Date September 23, 2004 | | | | | | | |
| | | | | | | | |
| | CE | RTIFIC | CATE OF TRANSMISSION | MAILING | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | | | | | |
| Typed or printed nam | ne Joy Salvador | | | | | | |
| Signature Apollvado | | | | | Date | September 23, 2004 | |
| | | | | | | | |

60316663 v1

ζ.

| | | ` ' | | | | |
|--|----------------------|--------------------|--|--|--|--|
| O'FEE TRANSMITTAL | Complete if Known | | | | | |
| THE THANSINITIAL | Application Number | 09/929,270 | | | | |
| SP 2 7 2004 FY 2004 | Filing Date | August 13, 2001 | | | | |
| Establish 10/04/2002 Patent for any subject to annual multiple | First Named Inventor | Northrup, M. Allen | | | | |
| Application and a small entity status. See 37 CFR 1.27 | Examiner Name | Ardin H. Marschel | | | | |
| | Art Unit | 1631 | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1,280.00 | Attorney Docket No. | 020048-002820US | | | | |

| METHOD OF PAYMENT (check all that apply) | | | | FEE C | ALCULATION (continued) | |
|--|-------------|-------------|-------------|----------|--|-------------|
| Check Credit Card Money Order Other None | 3. ADD | ITIONAL | FEES | | · | |
| Deposit Account: | Large | Entity | Small | Entity | | |
| Deposit Account 20-1430 | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Number | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| Deposit | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Account Townsend and Townsend and Crew LLP | 1053 | 130 | 1053 | 130 | Non-English specification | |
| Name | 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to | |
| | | | | | Examiner action | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| LJ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| FEE CALCULATION | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1. BASIC FILING FEE | -1 | | | | ., | |
| Large Entity Small Entity | 1253 | 950 | 2253 | 475 | Extension for reply within third month | 950 |
| Fee Fee Fee Fee Description Fee Paid | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| Code (\$) Code (\$) | 1255 | 2,010 | 2255 | 1.005 | Extension for reply within fifth month | |
| 1001 770 2001 385 Utility filing fee | 1401 | 330 | 2401 | 165 | Notice of Appeal | 330 |
| 1002 340 2002 170 Design filing fee | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | 330 |
| 1003 530 2003 265 Plant filing fee | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1004 770 2004 385 Reissue filing fee | 4.454 | | | | Petition to institute a public use | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | proceeding | |
| SUBTOTAL (1) (\$)0.00 | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| | 1453 | 1,330 | 2453 | 665 | Petition to revive – unintentional | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| Fee from | 1502 | 480 | 2502 | 240 | Design issue fee | |
| Extra Claims below Fee Paid | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| Total Claims -** = X | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Independent Claims = = = = = = = = = = = = = = = = = = = | 1807 | 50 | 1807 | 50 | Petitions related to provisional applications | |
| Multiple Dependent | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| Large Entity Small Entity | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| Fee Fee Fee Code (\$) Fee Description | 1809 | 770 | 2809 | 385 | Filling a submission after final rejection (37 CFR § 1.129(a)) | |
| 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 | 1810 | 770 | 2810 | 385 | For each additional invention to be | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1.0.0 | | 2010 | 000 | examined (37 CFR § 1.129(b)) | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| SUBTOTAL (2) (\$)0.00 | Other fee | e (specify) | · | | | |
| **or number previously paid, if greater; For Reissues, see above | | . ()/ | | | | |
| parally parally greater, to a transport and addition | *Reduce | d by Basic | Filing F | ee Paid | SUBTOTAL (3) (\$)1,280.00 |) |

| SUBMITTED BY Complete (if applicable) | | | | | | | |
|---------------------------------------|----------------|-----------------------------------|--------|-----------|--------------------|--|--|
| Name (Print/Type) | Chun-Pok Leung | Registration No. (Attorney/Agent) | 41,405 | Telephone | 650-326-2400 | | |
| Signature Change | | | | Date | September 23, 2004 | | |